

Table 4: Performance comparison w.r.t. different base models enhanced by our proposed method TCPSRec.

Method	Meituan		Beauty	
	HR@10	NDCG@10	HR@10	NDCG@10
GRU4Rec	0.2320	0.1479	0.0640	0.0369
+TCPSRec	0.2346	0.1511	0.0673	0.0382
Caser	0.2433	0.1526	0.0677	0.0389
+TCPSRec	0.2441	0.1553	0.0683	0.0394
SASRec	0.2708	0.1745	0.0683	0.0405
+TCPSRec	0.2797	0.1846	0.0841	0.0440
TiSASRec	0.2711	0.1735	0.0694	0.0408
+TCPSRec	0.2786	0.1808	0.0835	0.0433

4.3 Further Analysis

In this section, we conduct further analysis on TCPSRec.

4.3.1 Ablation Study. To validate the contribution of each pre-training objective in TCPSRec, we perform an ablation study on four real-world datasets, *i.e.*, Meituan, Yelp, Beauty, and Sports. We present the experimental results in Figure 3, where “w/o GIM”, “w/o LIM”, “w/o CPM” and “w/o FPM” denote the variants by removing the global invariant modeling objective, the local invariant modeling objective, the coarse-grained periodicity modeling objective, and the fine-grained periodicity modeling objective in TCPSRec, respectively. From this figure, we can observe that the performance of TCPSRec significantly decreases when removing any pre-training objective. Meanwhile, all the four variants perform better than the base model SASRec.

Furthermore, the importance of these objectives varies on different datasets. The global invariant modeling objective (GIM) brings more improvement than the other objectives. It is because GIM is more basic than the other two objectives. In addition, the improvements achieved by coarse-grained periodicity modeling (CPM) and fine-grained periodicity modeling (FPM) vary greatly across different datasets, which implies that different periodicities might be needed in different scenarios. Overall, the ablation study indicates that all pre-training objectives contribute to the performance improvement of our approach.

4.3.2 Applying the Pre-training Strategy on Other Models. With the default settings, we adopt SASRec as the based model in TCPSRec (refer to Section 2). Actually, our proposed pre-training method is generally applicable for other sequential recommender systems, such as GRU4Rec [13], Caser [28], and TiSASRec [18]. Therefore, we conduct experiments to examine whether the proposed pre-training strategy can also improve these sequential recommender systems. We report the results on the industry dataset Meituan and the public dataset Beauty in Table 4. From this table, we can observe that the proposed method can consistently improve the performance of GRU4Rec, Caser, SASRec, and TiSASRec, which further shows the effectiveness of the proposed method. In addition, we find that the proposed temporal pre-training method can also improve the performance of TiSASRec, a time-aware sequential recommender system, suggesting that our approach can better

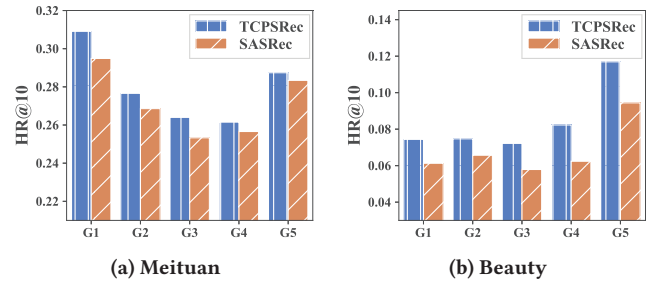


Figure 4: Analysis of recommendation for different sparse-level users. G5 denotes the group of users with the largest average number of interactions, and G1 is the opposite.

leverage temporal information. The experimental results further indicate the usefulness of temporal information in improving the recommendation performance.

4.3.3 Impact of Data Sparsity Levels. Traditional sequential recommender systems often suffer from data sparsity issues because they only rely on interaction sequences for training. To study the effect of the sparsity of interaction data on training, we group users according to the sparsity of their interactions and evaluate the performance of TCPSRec on different user groups separately. Concretely, we divide all users into five user groups according to their interaction number, each with the same total number of interactions (ensuring an equal amount of training data) but different numbers of users. Then, we train TCPSRec and SASRec separately on the interaction data of each user group, and compare their recommendation performance on these five user groups. The results are reported in Figure 4, where users in group G1 have the sparsest interaction data. It can be observed that TCPSRec outperforms SASRec on all user groups, which implies that the improvement brought by our method is stable and robust for users with different data sparsity levels. In addition, according to the results in Table 3, TCPSRec also achieves more improvement on the relatively sparse Beauty dataset than on the dense Meituan dataset. These findings show that the proposed TCPSRec can effectively alleviate the sparsity issue for sequential recommendation.

4.3.4 Impact on the Convergence Speed. To examine how pre-training affects the convergence speed, we further compare the loss of TCPSRec and SASRec during training on the recommendation task. Note that SASRec is randomly initialized, while TCPSRec corresponds to SASRec initialized by the pre-trained parameters. We adopt the early stopping strategy in the training process of recommendation tasks, *i.e.*, if HR@10 on the validation data does not increase for ten successive epochs, we consider that the model has converged and stop the training. Figure 5 shows the training process of SASRec and TCPSRec on Meituan and Beauty datasets. This figure shows that the proposed TCPSRec converges faster and achieves lower loss, while the SASRec needs more epochs to achieve similar performance. Specifically, on the Beauty dataset, SASRec requires more than 70 epochs of training to converge, while TCPSRec only needs about 20 epochs of fine-tuning to achieve better performance. The experimental results demonstrate that the proposed TCPSRec can significantly accelerate the convergence of recommendation models and achieve better performance.

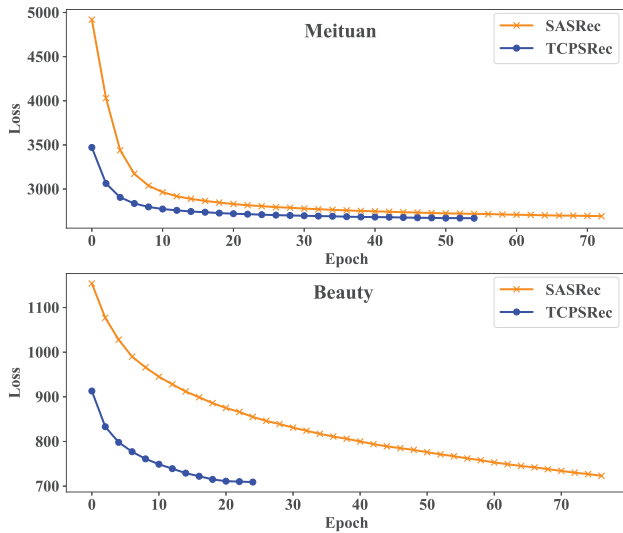


Figure 5: Analysis of convergence speed. SASRec is randomly initialized, while TCPSRec corresponds to the SASRec variant initialized by the pre-trained parameters.

5 RELATED WORK

In this section, we review the related work in two aspects, namely sequential recommendation and pre-training for recommendation.

5.1 Sequential Recommender Systems

Sequential recommender systems have gained success in capturing user preferences from sequential interaction data [33]. Early studies [11, 24] employ the Markov chain method to model the item transitions for capturing sequential relatedness. Subsequently, with the development of deep learning [17], Convolutional Neural Networks (CNN) [28], Recurrent Neural Networks (RNN) [13, 34] and other effective variants [14, 23] are applied to encode the user behavior sequences. Recently, significant attention has been dedicated to Transformer [30] based sequential models [3, 16, 20, 36]. For instance, SASRec [16] applies self-attention networks to model user behavior sequences, which effectively improves the recommendation performance. Furthermore, recent works [3, 36] introduce self-supervised learning into sequential user behavior modeling. Despite the remarkable success, the above methods seldom consider temporal information for modeling historical interactions. More recently, several methods [6, 8, 18, 32, 38] further leverage the temporal information for sequential recommendation. For example, TiSASRec [18] utilizes the time intervals between interactions to improve the self-attention mechanism of SASRec. TASER [38] takes into consideration both absolute time patterns and relative time intervals reflected by timestamps. However, these studies consider timestamps as auxiliary item features [6, 32] or use timestamps to develop time-aware models [8, 18, 38], which inevitably requires additional computational costs in the inference stage. Different from previous studies, our approach devises self-supervised objectives tailored for sequential recommendation, which can effectively capture temporal correlations for improving recommendation via pre-training.

5.2 Pre-training for Recommendation

Since the success of pre-training models in natural language processing [7, 37], the pre-training technique has also gained significant attention in the field of recommender systems [39]. Recently, some works apply the pre-training technique to sequential recommender systems [27, 35, 40, 45] and graph-based recommender systems [9, 21, 31] to better learn the representation of users and items. As for pre-trained sequential recommender systems, most of existing studies adopt Transformer [30] architecture. For example, BERT4Rec [27] and BERT4SessRec [2] employ the BERT [7] to model user behavior sequences and capture the bidirectional information. Based on the mutual information maximization principle, S³-Rec [45] designs four self-supervised learning objectives to capture the correlations between sequence data and contextual information. UPRec [35] incorporates user information, such as user attributes and social graphs, into pre-trained models for recommendation. PeterRec [40] further improves the fine-tuning efficiency for the pre-trained parameters. With the development of contrastive learning (CL) [15, 29], some works [1, 3, 22, 36] utilize CL in multi-task learning framework to improve sequential recommendation. For instance, CL4SRec [36] takes advantage of data augmentation strategies to construct self-supervised tasks and extract meaningful interaction patterns with CL. More recently, ICLRec [3] further propose to leverage prototypical contrastive learning to improve sequential recommendation. These methods seldom consider temporal information in constructing the self-supervised signals, which is less capable of modeling sequential characteristics for the recommendation task.

6 CONCLUSIONS

In this paper, we propose a novel **Temporal Contrastive Pre-training** method for **Sequential Recommendation**, named **TCPSRec**. Based on the temporal intervals of interactions, we divided the interaction sequence into coherent subsequences, and designed temporal pre-training objectives accordingly. Specifically, TCPSRec modeled both the invariance and periodicity of user behavior. We model the invariance at item level, and consider both global invariance within a sequence and local invariance within a subsequence. Moreover, we modeled the periodicity at subsequence level, considering coarse-grained periodicity and fine-grained periodicity. To integrate the above motivations, we developed a unified contrastive learning approach with four pre-training objectives tailored for sequential recommendation. The experimental results on six real-world datasets demonstrate the effectiveness of the proposed TCPSRec.

As future work, we will further consider extending our pre-training method to incorporate more kinds of side information, such as social graphs or auxiliary attributes.

ACKNOWLEDGMENTS

This work was partially supported by Beijing Natural Science Foundation under Grant No. 4222027, National Natural Science Foundation of China under Grant No. 61872369, and Beijing Outstanding Young Scientist Program under Grant No. BJJWZYJH012019100020098. This work was also partially supported by Beijing Academy of Artificial Intelligence (BAAI) and Meituan. Xin Zhao is the corresponding author.

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